# World Bank comments: KDRDIP AWPB 2020/21

**Overall comments:**

1. **Narrative:** The AWPB matrix needs to be supported by a narrative with details on the process followed, a summary of activities proposed to be funded, the preparatory work, and sub-county wise activities. The narrative should also speak to key areas for attention under the investments, e.g. the operating theatre will need careful staffing and sustainability plans, and others e.g. fridges and incinerators need careful procurement as we have seen frequent wasted investments due to inappropriate specifications.
2. **Supporting documentation**: The NPIU needs to submit to the Bank the full set of full sub-project proposals for each community-level investment (including business plans under Component 3) with supporting documentation (as per the COM, including safeguards, procurement, disbursement schedule) to enable World Bank review.

**Component two**: These are being prepared by Communities facilitated by FPs, in collaboration with sector specialists, coordinated and submitted by CIPIUs and responsible officers eg safeguard specialists, procurement and financial specialists etc.

1. **Comparison against overall allocations by component and sub-county:** As per the comment on the AWPB 2019/20, please share an overview of the current allocations in the AWPB against the overall budget allocations by component and sub-county.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Financial Year** | **COMPONENT BUDGET ALLOCATIONS** | | | | |  |
|  | **Wajir South** | **Lagdera** | **Dadaab** | **Fafi** | **Turkana West** | **Total** |
| **0verall- 5 years** | 396,058,090 | 216,354,359 | 322,847,920 | 296,278,036 | 668,461,595 |  |
| **BUDGET PLANNED 2019-2020** |  |  |  |  |  |  |
| **Natural resources 2(A)** | 23,152,027 | 12,647,241 | 18,872,443 | 17,319,270 | 39,075,685 | 111,066,666 |
| **Access to Energy: 2(B)** | 3,891,097 | 2,125,587 | 3,171,839 | 2,910,802 | 6,567,342 | 18,666,667 |
| **Backstopping & capacity building: NPIU** |  | | | | | 47,600,001 |
| **Total Planned 2019-2020** | 36,965,422 | 20,193,073 | 30,132,473 | 27,652,617 | 62,389,749 | 177,333,334 |
|  |  |  |  |  |  |  |
| **Results framework Targets- 2019-2020** |  |  |  |  |  |  |
|  | | | | | | |
| **BUDGET 2020-2021** | | | | | | |
| **Natural resources 2(A)** | 106,234,875 | 108,062,453 | 134,775,500 | 134,609,375 | 60,208,000 | 543,890,203 |
| **Access to Energy: 2(B)** | 16,910,000 | 2,875,852 | 13,876,600 | 25,483,250 | 3,000,000 | 94,745,702 |
| **Backstopping & capacity building: NPIU** |  | | | | | 62,650,000 |
| **Total component two- 2020-2021** |  |  |  |  |  | **701,285,905** |
|  |  |  |  |  |  |  |
| **Results framework Targets- 2020-2021** |  |  |  |  |  |  |
| **Natural resources 2(A)** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Access to Energy: 2(B)** |  |  |  |  |  |  |
| **Backstopping & capacity building: NPIU** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **BUDGET PLANNED 2021-2022** |  |  |  |  |  |  |
| **Natural resources 2(A)** |  |  |  |  |  |  |
| **Access to Energy: 2(B)** |  |  |  |  |  |  |
| **Backstopping & capacity building: NPIU** |  |  |  |  |  |  |
| **Total Planned 2021-2022** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Results framework Targets-2021-2022** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

1. **Main and sub-activities**: Main activities need to be separated from the sub activities instead of lumping them together. Most critically, this applies to construction vs. equipping. See table below for component two.
2. **Monitoring implementation**: Please include both expected outputs (so we know what should be delivered) and planned completion dates. Many of the quarter cells are not filled in. But a column with expected completion date may be easier to related to than a quarterly colored box. See table below for component two.
3. **Unit costs**: Please provide unit costs for activities by sub-county to allow for quick review and comparison. Unit cost may not make good sense in most instances as for example- I we were to renovate one classroom in five different schools, the cost is really dependent on the extent of renovation. Seems to me pointless to provide a unit cost. Even construction of new classrooms, the cost will again be influenced by distance from material supplies, source of raw materials etc. Indication of total cost would suffice without unit costs.
4. **Expected progress against results framework**: The AWP&B needs to highlight the annual targets to be achieved by project through the different component against the overall project targets. This will provide a view of what percentage the AW&B for the FY 2020/21 is envisaging to achieve. Table below.

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Component 2: Environmental and Natural Resource Management** | | | | | | | | | | | |
| *Project Development Objective: - To decrease environmental impacts of protracted refugee presence which has resulted in deterioration of the natural resource base.* | | | | | | | | | | | |
| **Indicators** | **Unit of Measure** | **Baseline** | **Target** | **Frequency of Reporting** | | | | | | **Means of Verification** | **Responsibility** |
| **Y3** | | **Y4** | | **Y5** | |
| **Actual** | **Target** | **Actual** | **Target** | **Actual** | **Target** |
| **Sub-component 2a: - Integrated Natural Resource Management** | | | | | | | | | | | |
| **Assessing environmental management planning** | | | | | | | | | | | |
| **Development plans prepared for sub locations** | Number |  | 135 | 135 | 135 | 135 | 135 | 135 | 135 | Approved development plans (CDPs) | FP/ CCoordinators |
| *Work plans reviewed & adopted.* | Number |  | 135 | 135 | 135 | 135 | 135 | 135 | 135 | Approved work plans | FP/ CCoordinators |
| *CPMCs trained on sub-project management.* | Number |  | 675 CPMCs (3375 pax) |  | 135 CPMCs (675 pax) |  | 405 CPMCs (2025 pax) |  | 135 CPMCs (675 pax) | Number of CPMCs-5 / participants - about 25 pax per village | FP/ CCoordinators |
| **Accessing farming under irrigated area** | | | | | | | | | | | |
| *Area provided with irrigation and drainage services-* | *New* (Hectares) |  | 700 |  | 196 |  | 700 |  | 700 | Monitoring and progress reports | Agriculture/ water experts |
| *Improved (*Hectares) |  | 300 |  | 84 |  | 300 |  | 300 |
| **Total (Hectares)** |  | **1, 000** |  | **280** |  | **1,000** |  | **1,000** | **Monitoring and progress reports** |
| *Work days created through* | *Irrigation activities. (No)* |  | 1,200,000 |  | 336,000.00 |  | 1,200,000 |  | 1,200,000 | **Monitoring reports** | **Agriculture / water experts** |
| *Roads activities (No)* |  | 300,000 |  | 84,000.00 |  | 300,000 |  | 300,000 |
| *Soil & water conservation (No)* |  | 300,000 |  | 84,000.00 |  | 300,000 |  | 300,000 |
| *Desilting waterpans* |  | 500,000 |  | 140,000.00 |  | 500,000 |  | 500,000 |
| *Civil works activities (No)* |  | 200,000 |  | 56,000.00 |  | 200,000 |  | 200,000 |
| *Tree nursery activities (No)* |  | 500,000 |  | 140,000.00 |  | 500,000 |  | 500,000 |
| *Tree planting and land rehabilitation activities (No)* |  | 200,000 |  | 56,000.00 |  | 200,000 |  | 200,000 |
| *Pasture development activities (No)* |  | 200,000 |  | 56,000.00 |  | 200,000 |  | 200,000 |
| *Environmental cleaning (plastic) collection activities (No)* |  | 200,000 |  | 56,000.00 |  | 200,000 |  | 200,000 |
| **Total work days created through LIPWs.** | **Number** |  | **3,600, 000** |  | **1,008,000** |  | **3,600,000** |  | **3,600,000** | **CIPIU Coordinators 2** |
| **Assessing area put under sustainable land management** | | | | | | | | | | | |
| *Area of land in hectares rehabilitated under* | *Natural regeneration* (Ha) |  | 3,200 |  | 896.00 |  | 3,200 |  | 3,200 | Monitoring reports | Environment expert |
| *Tree planting – reforestation afforestation (woodlots)* (Ha) |  | 1,500 |  | 420.00 |  | 1,500 |  | 1,500 |
| *Area of land put under* | *Agro-forestry practices (Ha)* |  | 1,500 |  | 420.00 |  | 1,500 |  | 1,500 |
| *Fodder production (Pastureland) (Ha)* |  | 3,000 |  | 840.00 |  | 3,000 |  | 3,000 |
| *Soil & water conservation measures* (Ha) |  | 2,000 |  | 560.00 |  | 2,000 |  | 2,000 |
| **Total Land area where sustainable land management practices have been adopted as a result of the project** | **Hectares** |  | **11, 190** |  | **3,136** |  | **11,190** |  | **11,190** | **Progress reports** | **Agriculture expert** |
|  |
| Number of tree/fruit tree nurseries established. | Number |  | 135 |  | 38.00 |  | 135 |  | 135 | Monitoring reports | Forester NRM/Environmental specialists |  |
| Number of seedlings | Produced, |  | 8,000,000 |  | 2,240,000 |  | 8,000,000 |  | 8,000,000 |  |
| Planted |  | 7,000,000 |  | 1,960,000 |  | 7,000,000 |  | 7,000,000 |  |
| % Survival |  | 75% |  | 75% |  | 75% |  | 75% |  |
| No. of LIPW groups which received tools and equipment. | Number |  | 405 |  | 405 |  | 405 |  | 405 | Procurement report and distribution list | sector specialists |  |
| No of community committees trained on environmental management techniques. | Number |  | 675 CPMCs (3375 pax) |  | 135 CPMcs (675 pax) |  | 405 CPMCs ( 2025 pax) |  | 135 CPMCs (675 pax) | Monitoring and progress reports | sector specialists |  |
| No of environmental management committees supported for enhanced service delivery (sub County, ward and Village level commitees) | Number |  | 15 |  | 4 |  | 15 |  | 15 |  |
| **Sub component 2b: Assessing access to Energy interventions.** | | | | | | | | | | | |  |
| *Number of solarized boreholes* | Number |  |  |  |  |  |  |  |  |  |  |  |
| *No of Households access cleaner energy* | Number |  |  |  |  |  |  |  |  |  |
| *No of households benefiting from energy saving devices* | Number |  |  |  |  |  |  |  |  |  |
| *No of institutions installed with solar & other energy sources* | Number |  |  |  |  |  |  |  |  |  |
| **Total No of individuals benefitting from access to clean energy** |  |  | 300,000 |  | 84,000 |  | 216,000 |  | 300,000 |  |  |  |
| **Assessing wildlife conservation inititiaves** | | | | | | | | | | | |  |
| **No of Community wildlife conservation areas established** | Number |  | 1 |  | 0 |  | 1 |  | 1 | Monitoring and progress reports | Environment expert |  |
| **No of existing community wildlife areas supported** | Number |  | 2 |  | 0 |  | 2 |  | 2 |  |
| **No of community groups involved in conservation initiatives** | Number |  | 12 |  | 3 |  | 9 |  | 12 |  |
| **No. of watering points developed for wildlife conservation** | Number |  | 27 |  | 6 |  | 27 |  | 27 |  |
| **Area developed for wildlife pasture/habitat under wildlife conservation initiatives** | Hectares |  | 1,500 |  |  |  | 1,500 |  | 1,500 |  |
| **Total area managed under wildlife conservation** | Hectares |  | 2,000 |  |  |  | 2,000 |  | 2,000 |  |  |  |

1. **Capacity building under Component 1-3:** Please provide details on the capacity building planned under Component 1-3.

**Capacity building for component two:**

* Will include training on project processes, focusing on LIPW operational and technical manuals, procurement, financial arrangements under LIPW, watershed approach, appropriate technologies and equipping of community extension service providers.
* Training of PISTs, CIPIU and FPs on relevant technical areas, refresher training on project approaches and training to enhance performance in response to M&E recommendations.

1. **Coordination**: Please indicate in how far investments have been coordinated with KOSAP, WSDP, NARIG/KCSAP, SEQIP and THS.
2. **DANIDA funds**: The funding source is currently indicated as IDA throughout. Please indicate how the remaining DANIDA funding will be used given the closing date of June 30, 2021.
3. **Budgeting for safeguards instruments**: Some of the project investments will need solid ESIAs and other safeguards instruments. The mortuary, for instance, has significant social risks if done without extensive consultations etc. From the AWPB, it is not clear if the budget for these safeguards instruments has been factored into the overall cost estimates for the investments. Please clarify.

**Component 1:**

1. **Health and education equipment:** For the health sector investments, the equipping of health centers, laboratories and day care units is mentioned. Similarly, for education sector investments, classroom equipment is mentioned. Please note that – while desks for instance can be funded – consumables like books and chalks are generally not funded and would lead to ineligible expenditures. Similarly, critical equipment for health centers can be included but not medicines and disposables.
2. **Farm equipment:** As commented earlier, farm equipment for individuals are not eligible investments. Farm equipment under group management could be part of Component 3 investments, but this would need to be clarified as part of the Business Plan and management of the asset needs to be clarified. Similarly, seeds and fertilizers for agriculture – people have land, know how to cultivate and so inputs and extension services will be supported; and livestock for income can be funded but the project cannot fund tractors or ploughs which are private assets and owned by individuals.
3. **Cost estimates for sector investments**: The sub-project proposals will need to provide further detail on the different types of investments (e.g. number of classrooms for a school, layout of latrines, types of health equipment etc.) to enable the judgement of cost estimates. However, please provide feedback on the following points at this stage:
4. **Water:**
   * Drilling and equipping of Boreholes: Unit costs for Lagdera and Dadaab seem high. Please clarify if this is just for drilling since there is a separate item line on solarization. The approximate cost should be between Ksh. 12,000 to 15,000 per meter drilled max.  e.g. for a 300 meter BH, the cost is about Ksh.3.6 million. If equipping with the Diesel pumping system is added, then one will need an additional amount of about Ksh.2.5 million.
   * Construction of Water Pans: As part of the full sub-project proposals, details need to be provided on the cubic storage. The cost is about between Ksh.180 – 250 per cubic meter storage e.g. The estimates in the workplan seem within range apart from Turkana West which looks like an outlier. Please indicate the volume for this water pan.
   * Construction of Water Tanks: The cost depends on the type of tank (elevated plastic, elevated steel, masonry), which will need to be indicated as part of the full sub-project proposals to assess the costs estimates.
   * Installation of water supply piping: Apart from Wajir South, the costs seem out of range. The expected costs for best quality HDPE piping should be about Ksh. 450,000 to 550,000 per kilometer. Please review and indicate.
   * Solarization of existing boreholes: As the cost largely depends on the size of the installation in terms of Kilowatts with the expected cost being about Ksh.300,000 to 350,000 per Kilowatt, the sub-project proposals need to clearly indicate the sizes of the proposed installations.
   * Sanitation: Please clarify what is defined as latrines and toilets. The assumption is that these are community latrine blocks?
   * Trainings: The cost estimates are quite high. Please provide details on the types of trainings.
5. **Health and Education**: Unit costs for a number of investments vary quite substantially between sub-counties, notably for the construction of laboratories, construction and equipping of maternity units, the construction of incinerators, the construction of school admin blocks, construction of library and school laboratories. Please review and provide feedback.
6. **Integration of health investments in the county health sector annual work plans**: Please confirm if the project’s health investments have been incorporated in the county health sector annual work plans.

**Component 2:**

1. **Capacity building costs**: There is significant variation across sub-counties on these though with higher expenditure on these in Lagdera and 0 expenditure in Turakana West. Please review and provide feedback. (Done)
2. **Livestock investments**: There are about 200 interventions related to improving livestock (row 114). It is unclear why these are included under component 2. Please review and provide feedback.
3. **Unit costs:** Unit costs are not indicated for component 2, making it difficult to judge the cost-effectiveness of the interventions (as per Annex C of the Technical Guidelines on “Provisional work Norms”. When computing the “unit cost” of a given sub-project type, there is limited variation across sub-counties, which is good but for some items there is large variation, e.g. for “Land restoration through pasture, development, grass reseeding ett. (ha)”, the unit cost of a sub-project is about 566,000 in Dadaab versus almost 1,000,0000 in Lagdera. Please review and provide feedback.
4. **Feasibility:** The feasibility study sample does not cover LIPW
5. **Procurement plan:** There is “LIPW” indicated as procurement method in the Lagdera procurement plan. It is unclear what this means and it needs to be modified
6. **Cell B108**: Looks like there is a typo there

**Component 3:**

1. **Capacity building for community institutions**: 1200 groups will be provided CB support. Please elaborate this a bit more in terms of what this will involve and who will provide this support.
2. **Supervision of program implementation:**  It is important to strengthen the supervision next year but this is not clear how these funds will be used and for what and who will receive it. Please review and clarify.
3. **Narrative:** The narrative needs to provide further detail on the types of livelihood activities to be support in each sub-county and the expected output of these activities. Business plans need to be part of the supporting documentation to be shared.

**Component 4:**

1. **Training plan**: Component 4 includes a capacity building budget of US$ 140,000. In addition, there is a line on attending conferences, seminars, mingled with attending boards and committees outside workstations for another US$ 200,000. Please separate costs for attending boards and committees from costs for capacity building conference, seminars and trainings. For the latter, we require a detailed training plan including specifics of the training content, location of training, number and names of participants and per head costs based on which we will provide no-objection.
2. **Catering services**: US$ 100,000 for catering services (US$ 34 per day per PIU) is high. Please confirm that this is in line with GoK guidelines.